


IFW

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. 147201-1	
In Re Application Of: Srinivasan et al.					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/815,880	03/31/2004		43248		9067
Title: METHODS FOR PRODUCING AND PURIFYING 2-HYDROCARBYL-3,3-BIS(4-HYDROXYARYL) PHTHALIMIDINE MONOMERS AND POLYCARBONATES DERIVED THEREFROM					
<div style="display: flex; justify-content: space-between; align-items: center;"><div style="text-align: left;"></div><div style="text-align: center;"><p>Address to:</p><p>Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p><p>37 CFR 1.97(b)</p><p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p><p style="text-align: center;">37 CFR 1.97(c)</p><p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p><div style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</div><p style="text-align: center;">OR</p><div style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</div></div></div>					

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

NOV 26 2004

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

147201-1

In Re Application: Srinivasan et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/815,880	03/31/2004		43248		9067

Title: **METHODS FOR PRODUCING AND PURIFYING 2-HYDROCARBYL-3,3-BIS(4-HYDROXYARYL) PHTHALIMIDINE MONOMERS AND POLYCARBONATES DERIVED THEREFROM**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 07-0862 as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

November 22, 2004

(Date)

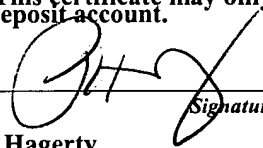
Signature of Person Mailing Correspondence

Rebecca L. Blake

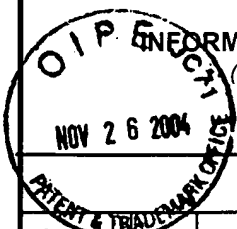
Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Dated: November 22, 2004


Peter R. Hagerty
Registration No. 42,618
Cantor Colburn LLP
55 Griffin Road South
Bloomfield, CT 06002
Telephone No. 860-286-2929

CC:



INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	ATTY DOCKET NO. 147201-1	APPLICATION NO. 10/815.880
	APPLICANT(S) Srinivasan et al.	
	FILING DATE 3/31/2004	GROUP ART UNIT

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,671,487	6/20/1972	Visvaldis			
	3,723,373	3/27/1973	Lucas			
	4,217,438	8/12/1980	Brunelle et al.			
	5,132,359	7/21/1992	Sasaki et al.			
	5,344,910	9/6/1994	Sybert			

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			Lin and Pearce, "Polymers with Improved Flammability Characteristics. I. Phenolphthalein-Related Homopolymers" Journal of Polymer Science: Polymer Chemistry Edition, Vol. 19, 2659-2670 (1981)
			Lin and Pearce, "Thermal Degradation Study of Phenolphthalein Polycarbonate" Journal of Polymer Science: Polymer Chemistry Edition, Vol. 19, 2773-2797 (1981)

EXAMINER	DATE CONSIDERED
----------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. 147201-1		APPLICATION NO. 10/815.880	
				Srinivasan et al.			
				FILING 3/31/2004		GROUP ART	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,387,629	2/7/1995	McGrath et al.			
		5,455,310	10/3/1995	Hoover et al.			
		5,804,525	9/8/1998	Boden et al.			

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2003/0109650	6/12/2003	Campbell et al.			

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

EXAMINER	DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.